

PTO/SB/08a (08-03)

Approved for use through 07/31/2008. OMB 0851-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete If Known

Application Number	10/695,288
Filing Date	10/28/2003
First Named Inventor	Gordon
Art Unit	2611
Examiner Name	Unknown
Attorney Docket Number	SEDN/245CON1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
JDS	1	US-8,415,437 B1	07/02/2002	Ludvig et al.	
	2	US-			
	3	US-			
	4	US-			
	5	US-			
	6	US-			
	7	US-			
	8	US-			
	9	US-			
	10	US-			
	12	US-			
	13	US-			
	14	US-			
	15	US-			
	16	US-			
	17	US-			
	18	US-			
	19	US-			
	20	US-			
	21	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁴
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
	A					
	B					
	C					
	D					
	E					
	F					
	G					
	H					
	I					

Examiner
Signature

/Jean Duclos SaintCyr/

Date
Considered

08/27/2007

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to be (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9198 and select option 2.

10/695288

FORM PTO-1449 (Modified)			Attorney Docket No.: <i>DIYA/245 CON 1</i>		Application No.: <i>not assigned</i>	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Donald F. Gordon et al.			
			Filing Date: <i>hercowitz</i>		Group: <i>not assigned</i> <i>Page 1 of 6</i>	
Reference Designation			U.S. PATENT DOCUMENTS			Page 1
Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
<i>JDS/1</i>	4,290,063	09/15/1981	Traster	340	723	
<i>2</i>	4,437,093	03/13/1984	Bradley	340	726	
<i>3</i>	4,496,976	01/29/1985	Swanson et al.	358	147	
<i>4</i>	4,520,356	05/28/1985	O'Keefe et al.	340	750	
<i>5</i>	4,600,921	07/15/1986	Thomas	340	825.31	
<i>6</i>	4,633,297	12/30/1986	Skerlos et al.	358	22	
<i>7</i>	4,706,121	11/10/1987	Young	358	142	
<i>8</i>	4,712,239	12/08/1987	Frezza et al.	380	20	
<i>9</i>	4,734,764	03/29/1988	Pocock et al.	358	86	
<i>10</i>	4,739,318	04/19/1988	Cohen	340	750	
<i>11</i>	4,742,344	05/03/1988	Nakagawa et al.	340	723	
<i>12</i>	4,745,468	05/17/1988	Von Kohorn	358	84	
<i>13</i>	4,751,578	06/14/1988	Reiter et al.	358	183	
<i>14</i>	4,792,848	12/20/1988	Nussrallah et al.	358	86	
<i>15</i>	4,792,849	12/20/1988	McCalley et al.	358	86	
<i>16</i>	4,829,372	05/09/1989	McCalley et al.	358	86	
<i>17</i>	4,829,569	05/09/1989	Seth-Smith et al.	380	10	
<i>18</i>	4,847,825	07/11/1989	Levine	369	47	
<i>19</i>	4,860,123	08/22/1989	McCalley et al.	358	342	
<i>20</i>	4,866,770	09/12/1989	Seth-Smith et al.	380	20	
<i>21</i>	4,876,592	10/24/1989	Von Kohorn	358	84	
<i>22</i>	4,885,775	12/05/1989	Lucas	380	10	
<i>23</i>	4,890,321	12/26/1989	Seth-Smith et al.	380	20	
<i>24</i>	4,905,094	02/27/1990	Pocock et al.	358	342	
<i>25</i>	4,908,713	03/13/1990	Levine	358	335	
<i>26</i>	4,926,255	05/15/1990	Von Kohorn	358	84	
<i>27</i>	4,941,040	07/10/1990	Pocock et al.	358	86	
<i>28</i>	4,963,994	10/16/1990	Levine	358	335	
<i>29</i>	4,977,455	12/11/1990	Young	358	142	
<i>30</i>	4,991,011	02/05/1991	Johnson et al.	358	141	
<i>31</i>	4,994,908	02/19/1991	Kuban et al.	358	86	
<i>32</i>	5,014,125	05/07/1991	Pocock et al.	358	86	
<i>33</i>	5,034,807	07/23/1991	Von Kohorn	358	84	
<i>34</i>	5,038,211	08/06/1991	Hallenbeck	358	142	
<i>35</i>	5,057,915	10/15/1991	Von Kohorn	358	84	
<i>JDS/36</i>	5,058,160	10/15/1991	Banker et al.	380	20	

10/695288

FORM PTO-1449 (Modified)			Attorney Docket No.: <u>DIVA 1245 CON 1</u>		Application No.: <u>not assigned</u>	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: <u>Donald F. Gordon et al.</u>		Filing Date: <u>herewith</u>	
			Group: <u>not assigned</u>		<u>Page 2 of 6</u>	
<u>IDS/37</u>	5,109,279	04/28/1992	Ando	358	147	
38	5,113,496	05/12/1992	McCalley et al.	395	200	
39	5,119,188	06/02/1992	McCalley et al.	358	86	
40	5,123,046	06/16/1992	Levine	380	10	
41	5,128,752	07/07/1992	Von Kohorn	358	84	
42	5,146,210	09/08/1992	Heberle	340	709	
43	5,151,789	09/29/1992	Young	358	194.1	
44	5,191,410	03/02/1993	McCalley et al.	358	86	
45	5,195,092	03/16/1993	Wilson et al.	370	94.2	
46	5,208,665	05/04/1993	McCalley et al.	358	86	
47	5,227,874	07/13/1993	Von Kohorn	358	84	
48	5,231,665	07/27/1993	Auld et al.	380	20	
49	5,239,540	08/24/1993	Rovira et al.	370	77	
50	5,247,364	09/21/1993	Banker et al.	358	191.1	
51	5,249,044	09/28/1993	Von Kohorn	358	86	
52	5,260,778	11/09/1993	Kauffman et al.	358	86	
53	5,270,809	12/14/1993	Gammie et al.	358	84	
54	5,283,734	02/01/1994	Von Kohorn	364	412	
55	5,293,357	03/08/1994	Hallenbeck	348	734	
56	5,297,204	03/22/1994	Levine	380	10	
57	5,301,028	04/05/1994	Banker et al.	348	570	
58	5,303,295	04/12/1994	West et al.	380	10	
59	5,307,173	04/26/1994	Yuen et al.	358	335	
60	5,319,454	06/07/1994	Schutte	348	5.5	
61	5,319,707	06/07/1994	Wasilewski et al.	380	14	
62	5,335,079	08/02/1994	Yuen et al.	358	335	
63	5,353,121	10/04/1994	Young et al.	348	563	
64	5,365,282	11/15/1994	Levine	348	734	
65	5,373,330	12/13/1994	Levine	348	734	
66	5,382,983	01/17/1995	Kwoh et al.	348	716	
67	5,400,401	03/21/1995	Wasilewski et al.	380	9	
68	5,406,558	04/11/1995	Rovira et al.	370	77	
69	5,414,448	05/09/1995	Wada et al.	345	194	
70	5,414,756	05/09/1995	Levine	379	67	
71	5,420,647	05/30/1995	Levine	348	734	
72	5,422,674	06/06/1995	Hooper et al.	348	409	
73	5,428,404	06/27/1995	Ingram et al.	348	726	
<u>IDS/74</u>	5,438,370	08/01/1995	Primiano et al.	348	476	

10/695288

FORM PTO-1449 (Modified)			Attorney Docket No.: <u>DIVA/245 CON 1</u>		Application No.: <u>not assigned</u>	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: <u>Donald F. Gordon et al.</u>		Filing Date: <u>herewith</u>	
					Group: <u>not assigned</u>	
					<u>Page 3 of 6</u>	
<u>LIDS/75</u>	5,440,632	08/08/1995	Bacon et al.	380	20	
<u>76</u>	5,473,609	12/05/1995	Chaney	370	94.1	
<u>77</u>	5,473,704	12/05/1995	Abe	382	235	
<u>78</u>	5,475,382	12/12/1995	Yuen et al.	340	825.72	
<u>79</u>	5,477,262	12/19/1995	Banker et al.	348	7	
<u>80</u>	5,479,266	12/26/1995	Young et al.	358	335	
<u>81</u>	5,479,268	12/26/1995	Young et al.	358	335	
<u>82</u>	5,485,221	01/16/1996	Banker et al.	348	563	
<u>83</u>	5,488,409	01/30/1996	Yuen et al.	348	5	
<u>84</u>	5,493,339	02/20/1996	Birch et al.	348	461	
<u>85</u>	5,502,504	03/26/1996	Marshall et al.	348	565	
<u>86</u>	5,508,815	04/16/1996	Levine	358	335	
<u>87</u>	5,515,173	05/07/1996	Mankovitz et al.	358	335	
<u>88</u>	5,523,794	06/04/1996	Mankovitz et al.	348	460	
<u>89</u>	5,523,796	06/04/1996	Marshall et al.	348	589	
<u>90</u>	5,532,732	07/02/1996	Yuen et al.	348	1	
<u>91</u>	5,532,754	07/02/1996	Young et al.	348	569	
<u>92</u>	5,539,391	07/23/1996	Yuen	340	825.72	
<u>93</u>	5,539,822	07/23/1996	Lett	380	20	
<u>94</u>	5,543,852	08/06/1996	Yuen et al.	348	478	
<u>95</u>	5,550,576	08/27/1996	Klosterman	348	6	
<u>96</u>	5,552,837	09/03/1996	Mankovitz	348	734	
<u>97</u>	5,553,123	09/03/1996	Chan et al.	379	102	
<u>98</u>	5,559,550	09/24/1996	Mankovitz	348	6	
<u>99</u>	5,559,870	09/24/1996	Patton et al.	379	107	
<u>100</u>	5,568,272	10/22/1996	Levine	386	48	
<u>101</u>	5,579,055	11/26/1996	Hamilton et al.	348	476	
<u>102</u>	5,579,057	11/26/1996	Banker et al.	348	589	
<u>103</u>	5,581,614	12/03/1996	Ng et al.	380	20	
<u>104</u>	5,592,551	01/07/1997	Lett et al.	380	20	
<u>105</u>	5,600,378	02/04/1997	Wasilewski et al.	348	468	
<u>106</u>	5,600,711	02/04/1997	Yuen	379	102	
<u>107</u>	5,604,528	02/18/1997	Edwards et al.	348	5.5	
<u>108</u>	5,619,247	04/08/1997	Russo	348	3	
<u>109</u>	5,619,274	04/08/1997	Roop et al.	348	461	
<u>110</u>	5,619,383	04/08/1997	Ngai	360	20	
<u>111</u>	5,621,579	04/15/1997	Yuen	386	121	
<u>LIDS/112</u>	5,630,119	05/13/1997	Aristides et al.	395	601	

10/695288

FORM PTO-1449 (Modified)			Attorney Docket No.: <u>DIVA/245 CON I</u>		Application No.: <u>not assigned</u>	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: <u>Donald F. Gordon et al.</u>		Group: <u>not assigned</u>	
			Filing Date: <u>herewith</u>		<u>page 4 of 6</u>	
<u>/JDS/</u> 113	5,644,354	07/01/1997	Thompson et al.	348	13	
114	5,659,367	08/19/1997	Yuen	348	465	
115	5,673,089	06/10/1997	Yuen et al.	604	95	
116	5,675,575	10/07/1997	Wall, Jr. et al.	370	326	
117	5,684,525	11/04/1997	Klosterman	348	12	
118	5,692,214	11/25/1997	Levine	395	833	
119	5,701,383	12/23/1997	Russo et al.	386	46	
120	5,710,601	01/20/1998	Marshall et al.	348	564	
121	5,715,515	02/03/1998	Akins, III et al.	455	4.1	
122	5,716,273	02/10/1998	Yuen	463	29	
123	5,724,203	03/03/1998	Kwoh et al.	360	72.3	
124	5,724,525	03/03/1998	Beyers, II et al.	395	240	
125	5,727,060	03/10/1998	Young	380	10	
126	5,731,844	03/24/1998	Rauch et al.	348	563	
127	5,751,282	05/12/1998	Girard et al.	345	327	
128	5,754,940	05/19/1998	Smith et al.	455	5.1	
129	5,757,416	05/26/1998	Birch et al.	348	6	
130	5,764,739	06/09/1998	Patton et al.	379	106.03	
131	5,771,064	06/23/1998	Lett	348	10	
132	5,790,198	08/04/1998	Roop et al.	348	460	
133	5,790,806	08/04/1998	Koperda	395	200.82	
134	5,801,753	09/01/1998	Eyer	348	13	
135	5,801,787	09/01/1998	Schein et al.	348	569	
136	5,805,204	09/08/1998	Thompson et al.	348	13	
137	5,808,608	09/15/1998	Young et al.	345	327	
138	5,809,204	09/15/1998	Young et al.	386	83	
139	5,812,205	09/22/1998	Milnes et al.	348	460	
140	5,828,420	10/27/1998	Marshall et al.	348	564	
141	5,828,945	10/27/1998	Klosterman	455	4.2	
142	5,844,620	12/01/1998	Coleman	348	461	
143	5,850,218	12/15/1998	Lajoie et al.	345	327	
144	5,852,478	12/22/1998	Kwoh	348	734	
145	5,854,840	12/29/1998	Cannella, Jr.	380	9	
146	5,870,150	02/09/1999	Yuen	348	553	
147	5,870,474	02/09/1999	Wasilewski et al.	380	21	
148	5,880,768	03/09/1999	Lemmons	348	1	
149	5,915,068	06/22/1999	Levine	386	83	
<u>/JDS/</u> 150	5,949,476	09/07/1999	Pocock et al.	348	24	

10/695,288

FORM PTO-1449 (Modified)			Attorney Docket No.: <u>DIVA/245 CON 1</u>		Application No.: <u>not assigned</u>	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)			Applicant: Donald F. Gordon et al.			
			Filing Date: <u>herewith</u>		Group: <u>not assigned Page 5 of 6</u>	

<u>/IDS/</u> 151	B1 4,706,121	12/14/1993	Young	358	142	
152	Re 32,187 (4,213,124)	06/17/1986	Barda et al.	340	706	
153	Re 35,954 (5,297,204)	11/10/1998	Levine	380	10	

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-class	Translation (Yes/No)
154						
155						
156						
157						
158						
159						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

160	
161	
162	
163	
164	

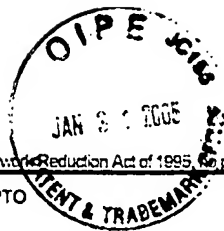
<u>/IDS/</u>	EXAMINER <u>/Jean Duclos SaintCyr/</u>	DATE CONSIDERED <u>08/27/2007</u>
--------------	--	-----------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

10/695288

Sheet 6 of 6 sheet(s)

U.S. Department of Commerce, Patent and Trademark Office					Docket No.		Serial No.	
(PTO Form 1449 modified)					DIVA/245CON1		Not yet assigned	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant:		Confirmation No.:	
LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT					Gordon		Not yet assigned	
(Use several sheets if necessary)					Filing Date		Group	
Examiner not yet assigned					herewith		Not yet assigned	
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate	
jos	A1	6,160,545	12/2000	Eyer et al.	345	721		
jos	A2	6,147,714	11/2000	Terasawa et al.	348	564		
jos	A3	6,141,448	10/2000	Khansari et al.	382	236		
jos	A4	6,061,451	5/2000	Muratani et al.	705	58		
jos	A5	5,926,230	7/1999	Nijima et al.	725	56		
jos	A6	5,639,350	8/1997	Hendricks et al.	725	116		
jos	A7	5,585,865	12/1996	Amano et al.	725	14		
	A8							
	A9							
	A10							
	A11							
	A12							
	A13							
	A14							
	A15							
Foreign Patent Documents								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
	B1						<input type="checkbox"/>	<input type="checkbox"/>
	B2						<input type="checkbox"/>	<input type="checkbox"/>
	B3						<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>
OTHER ART								
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.						
	C1							
	C2							
Examiner <i>John Andrew Sombly</i>					Date Considered <i>08/27/07</i>			
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								



10/695288

PTO/SB/08a (08-03)

Approved for use through 07/31/2008. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	10/695,288
Filing Date	10/28/2003
First Named Inventor	Gordon
Art Unit	2611
Examiner Name	Unknown
Attorney Docket Number	SEDN/245CON1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
/JDS/	1	US-5,867,208	02-02-1999	McLaren	
	2	US-			
	3	US-			
	4	US-			
	5	US-			
	6	US-			
	7	US-			
	8	US-			
	9	US-			
	10	US-			
	12	US-			
	13	US-			
	14	US-			
	15	US-			
	16	US-			
	17	US-			
	18	US-			
	19	US-			
	20	US-			
	21	US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
/JDS/	A	WO 00/05890	02-03-2000	DIVA Systems Corporation		
/JDS/	B	WO 00/05892	02-03-2000	DIVA Systems Corporation		
/JDS/	C	EP 0 966 164 A2	12-22-1999	Sony Corporation		
	D					
	E					
	F					
	G					
	H					
	I					

Examiner Signature	/Jean Duclos SaintCyr/	Date Considered	08/27/2007
--------------------	------------------------	-----------------	------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.